

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: RABY, RICHARD E
Application No.: 10/771641 Confirmation No.: 3710
Filed: 04-FEB-2004
Title: PLANAR GUIDES TO VISUALLY AID ORTHODONTIC APPLIANCE
PLACEMENT WITHIN A THREE-DIMENSIONAL (3D) ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.
☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

October 27, 2011
Date

/Angela M. Zontelli/
Signed by: Angela M. Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. A fee under 37 CFR § 1.17(p) is required.

Fees

- ☐ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
☒ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

October 26, 2011

Date

By: /Lance Vietzke/

Lance L. Vietzke, Reg. No.: 36,708

Telephone No.: 651-737-2180

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/771641
	Filing Date	04-FEB-2004
	First Named Inventor	Raby, Richard E
	Confirmation Number	3710
	Attorney Case Number	59525US002

United States Patent Documents						
Exam. Init.*	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)				
	A1	US-				
	A2	US-				
	A3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (if known)				
	B1	JP	8-168498	07-02-1996			English Abstract
	B2	JP	8-280715	10-29-1996			English Abstract
	B3	JP	2002-500054	01-08-2002			English Abstract
	B4	WO	03/073382	09-04-2003			
	B5						
	B6						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	